



DATE: January 31, 2002

SHEET 1 of 3

## Form PTO-1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
6017.US.D1SERIAL NO.  
09/954,936INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

## APPLICANT(S)

Clark A. Briggs et al.

(Use several sheets if necessary)

## FILING DATE

9/18/01

## GROUP

1647

(37 CFR 1.98 (b))

RECEIVED  
TECH CENTER 1600/29A  
FEB 28 2002

## U.S.PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	FILING DATE
56	5 2 0 2 2 5 7	4/13/93	Heinemann, et al.			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLIC-ATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES	NO
56	BI 9 4 2 0 6 1 7	9/15/94	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

56	C1	L. E. Adler et al., <u>Biol. Psychiatry</u> , Vol. 32, 607-616 (1992)
11	C2	M. H. Akabas et al., <u>Biochem.</u> , Vol. 34, 12496-12500 (1995)
11	C3	A. Akaike et al., <u>Brain Res.</u> , Vol. 644, 181-187 (1994)
11	C4	K. Aoshiba et al., <u>J. Lab. Clin. Med.</u> , Vol. 127, 186-194 (1996)
11	C5	M. Ballivet et al., <u>J. Mol. Biol.</u> , Vol. 258, 261-269 (1996)
11	C6	C. Beck et al., <u>Neurobiol. Disease</u> , Vol. 1 95-99 (1994)
11	C7	C. Beck et al., <u>Epilepsia</u> , Vol. 36, S28 (1995)
11	C8	D. Bertrand et al., <u>Proc. Natl. Acad. Sci. (USA)</u> , Vol. 89, 1261-1265 (1992)
11	C9	D. Bertrand et al., <u>Proc. Natl. Acad. Sci. (USA)</u> , Vol. 90, 6971-6975 (1993)
11	C10	D. Bertrand et al., <u>Sem. Neurosci.</u> , Vol. 7, 75-90 (1995)
11	C11	R. Blitzer et al., <u>Neurosci. Lett.</u> , Vol. 119, 207-210 (1990)
11	C12	J.-P. Changeux et al., <u>Trends Pharmacol. Sci.</u> , Vol. 13, 299-301 (1992a)
11	C13	J.-P. Changeux et al., <u>Q. Rev. Biophys.</u> , Vol. 25, 395-432 (1992b)
11	C14	J. Chen et al., <u>Biophys. J.</u> , Vol. 69, 849-859 (1995)
11	C15	S. Couturier et al., <u>Neuron</u> , Vol. 5, 847-856 (1990)
11	C16	D. Donnelly-Roberts et al., <u>Brain Res.</u> , Vol. 719, 36-44 (1996)
11	C17	A. Engel et al., <u>Ann. Neurol.</u> , Vol. 40, 810-817 (1996)
11	C18	A. Ferrer-Montiel et al., <u>FEBS Lett.</u> , Vol. 324, 185-190 (1993)
11	C19	G. Filatov et al., <u>Mol. Pharmacol.</u> , Vol. 48, 379-384 (1995)
11	C20	R. Freedman et al., <u>J. Neurosci.</u> , Vol. 13, 1965-1975 (1993)
11	C21	R. Freedman et al., <u>Proc. Natl. Acad. Sci. (USA)</u> , Vol. 94, 587-592 (1997)
11	C22	J. Freeman et al., <u>Nature</u> , Vol. 269, 218-222 (1977)

EXAMINER

*Stephen Buckley*

DATE CONSIDERED

*7/24/03*

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

O P E  
FEB 22 2002

DATE: January 31, 2002

SHEET 2 of 3

## Form PTO-1449 (Modified)

PTO-1449  
PATENT & TRADEMARK OFFICEFORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
6017.US.D1SERIAL NO.  
09/954,936INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98 (b))

APPLICANT(S)

Clark A. Briggs et al.

FILING DATE

9/18/01

GROUP

1647

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	RE FILING DATE

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLIC-ATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>S6</i>	C23	K. Fuxe et al., <u>Clin. Investig.</u> , Vol. 72, 262-268 (1994)
II	C24	J.-L. Galzi et al., <u>FEBS Lett.</u> , Vol. 294, 198-202 (1991)
II	C25	J.-L. Galzi et al., <u>Nature</u> , Vol. 359, 500-505 (1992)
II	C26	M. Garcia-Guzman et al., <u>Eur. J. Neurosci.</u> , Vol. 7, 647-655 (1995)
II	C27	M. Gopalakrishnan et al., <u>Eur. J. Pharmacol. -Mol. Pharmacol.</u> , Vol. 290, 237-246 (1995)
II	C28	F. Hory-Lee et al., <u>J. Neurosci.</u> , Vol. 15, 6453-6460 (1995)
II	C29	B. Hunter et al., <u>Neurosci. Lett.</u> , Vol. 168, 130-134 (1994)
II	C30	K. Imoto et al., <u>Nature</u> , Vol. 335, 645-648 (1988)
II	C31	J. James et al., <u>Behav. Genet.</u> , Vol. 25, 149-159 (1995)
II	C32	A. Janson et al., <u>Neurosci.</u> , Vol. 57, 931-941 (1993)
II	C33	P. Kienker et al., <u>Biophys. J.</u> , Vol. 66, 325-334 (1994)
II	C34	R. Krause et al., <u>J. Physiol. (London)</u> , Vol. 489, 779-790 (1995)
II	C35	S. Leonard et al., <u>Schizophr. Bull.</u> , Vol. 22, 431-445 (1996)
II	C36	V. Luntz-Leybman et al., <u>Brain Res.</u> , Vol. 587, 130-136 (1992)
II	C37	P. Marin et al., <u>NeuroReport</u> , Vol. 5, 1977-1980 (1994)
II	C38	E. Martin et al., <u>Drug Dev. Res.</u> , Vol. 31, 135-141 (1994)
II	C39	C. Newland et al., <u>J. Physiol. (London)</u> , Vol. 487P, P 208 (1995a)
II	C40	C. Newland et al., <u>J. Physiol. (London)</u> , Vol. 489, 767-778 (1995b)
II	C41	A. Owen et al., <u>NeuroReport</u> , Vol. 6, 2269-2272 (1995)
II	C42	P. Pugh et al., <u>J. Neurosci.</u> , Vol. 14, 889-896 (1994)
II	C43	M. Quik et al., <u>Brain Res.</u> , Vol. 655, 161-167 (1994)
II	C44	F. Revah et al., <u>Nature</u> , Vol. 353, 846-849 (1991)

EXAMINER

*Stephen Suckey*

DATE CONSIDERED

*7/24/03*

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**DATE:** January 31, 2002

SHEET \_3 of 3

**Form PTO - 1449 (Modified)**

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 6017.US.D1*	SERIAL NO. 09/954,836
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT(S) Clark A. Briggs et al.	RECEIVED U.S. PATENT AND TRADEMARK OFFICE FEB 28 2002
(Use several sheets if necessary)		FILING DATE 9/18/01	
(37 CFR 1.98 (b))			

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	FILING DATE

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

**OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)**



**EXAMINER**

Stephen Sucker

**DATE CONSIDERED**

**RED** 7/24/03

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.